SPA/SPAC Quarterly Meeting

January 17, 2024

2:30pm-4pm

Agenda

- SPA Highlights
- New SPA Employees
- Contacting SPA
- Feb 5th NIH Deadline
- NCE Pilot
- Revised Temp Account Request Form
- Open Source/Public Access
- New KR Investigator Certification Questions
- Things to Remember

SPA Highlights

- Reduced Backlog of Subawards
- Implemented New Subrecipient Risk Assessment Process
- Hired Research Security Manager to Meet NSPM 33 Requirements

SPA Personnel Updates

New SPA Employees

- ❖ Andrew Cromwell, Subaward Team
- ❖ Kate Galarza – Program Coordinator (50% SPA)
- ❖ Roman Kovalets, Contracts Team
- ❖ Katie Muldoon, Contracts Team
- ❖ Hiromi Sanders, Research Security Manager
- ❖ Gabrielle Wolff, Team State

Contacting SPA

Contact Us Page
https://www.umaryland.edu/spa/spa-contact-us/

Team Red/Team White Addresses no longer in use
SPA-Proposals@umaryland.edu

Team State Email
SPA-TeamState@umaryland.edu

Office Hours

Mondays **
10:00-11:30am

Wednesdays
2:00-3:30pm

**First and last Monday - Contracts Team is available in office hours

Coming Soon - Joint times with SPAC!

Office Hours Next week (1/22-1/26) are canceled to allow SPA to attend the Federal Demonstration Partnership meeting.

Feb 5th NIH Deadline

- As of January 16th, 27 Proposals have started routing.

- Remember Internal deadline dates and please get your proposals in early!

NCE Pilot Program

- New Process utilizes KB instead of a form
  - Reduce questions/follow-ups
  - Reduce email traffic
  - Easier routing/tracking
- Pilot program has started
  - Initially 1 dept/1 School
  - Now includes 2 Schools/3 Depts
- Goal to roll out to everyone by March 1

Revised Temp Account Request Form

- Updates made to reduce common errors/setup delays

- Intend to move Temp Account Form to KB once NCE form is up and running
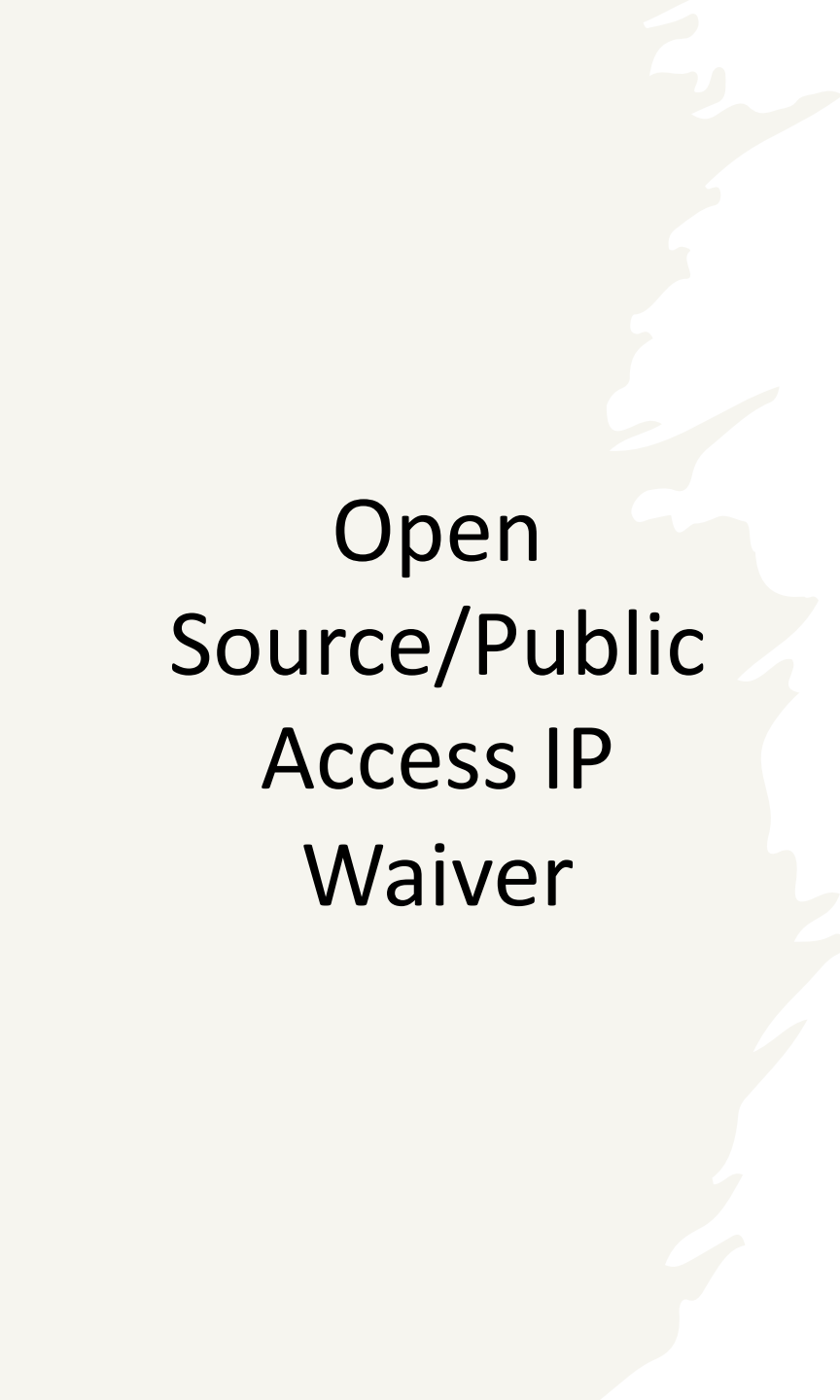
https://www.umaryland.edu/spa/forms/

Open Source/Public Access IP Waiver

Office of Research and Development has approved a blanket waiver for public access/open source requirements for Federal and Non-Profit sponsors.

Industry/Corporate funded projects are not covered under the blanket waiver.

Principal Investigators (PIs) may release data and software under open access and open source licenses, for those sponsors that require it at the proposal submission, in accordance with the established process.

Open Source/Public Access IP Waiver

- The PI is responsible for providing a certification via Kuali Research.

- The PI is responsible for acknowledging and agreeing to abide by the terms and conditions of funding awards.

- The PI is responsible for educating students, post-docs, and others working under their awards or under their supervision about the IP terms of each award, including any open source requirements.

- The PI is responsible for disclosing software and other Intellectual Property to UM Ventures, and for contacting UM Ventures with questions about appropriate open source license(s) to use.

- The PI is responsible for forwarding any release or data sharing agreement that needs a UMB signatory to the appropriate office (SPA, OTT, CCT).

New KR Investigator Certification Questions

New KR Investigator Certification Questions

Three changes **effective January 18, 2024**.

- Two multiple choice questions have been reconstructed, and a new question about Open Access/Open Source requirements has been added.

- Simplified initial yes/no question and a follow-up question presented when the initial answer is Yes.

- Explanation text has been added in Kuali Research and can be accessed at the end of the question.

- These changes will automatically apply to newly created proposals. Investigators added to proposals on or after January 18, 2024 will have the updated questions by default.

- Investigators who have already certified their proposal with the older versions of the questions **do not** need to recertify for that proposal.
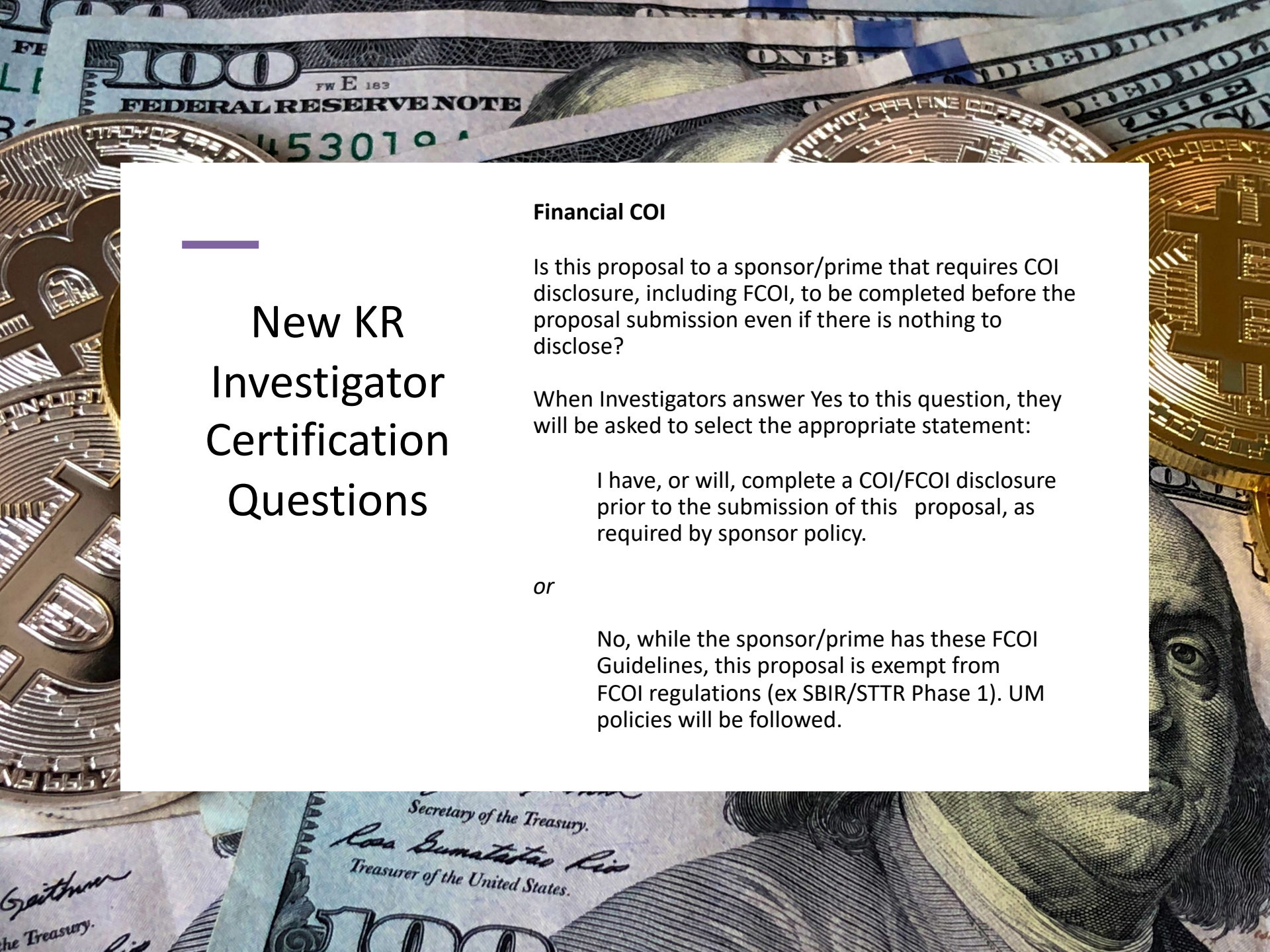
New KR Investigator Certification Questions

Capital Equipment

Is capital equipment required to be purchased for this project?

When Investigators answer Yes to this question, they will be presented with a statement affirming that no comparable equipment is available on campus for the project.

New KR Investigator Certification Questions

Financial COI

Is this proposal to a sponsor/prime that requires COI disclosure, including FCOI, to be completed before the proposal submission even if there is nothing to disclose?

When Investigators answer Yes to this question, they will be asked to select the appropriate statement:

I have, or will, complete a COI/FCOI disclosure prior to the submission of this proposal, as required by sponsor policy.

or

No, while the sponsor/prime has these FCOI Guidelines, this proposal is exempt from FCOI regulations (ex SBIR/STTR Phase 1). UM policies will be followed.

New KR Investigator Certification Questions

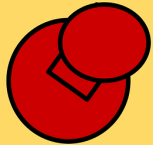**Open Access/Open Source**

New KR Question:

Is this proposal to be submitted to a sponsor, either directly or as a subawardee to a Prime Sponsor, which has public access or open-source requirements (data or software) or specific data management/sharing requirements that would normally require a waiver of the University of Maryland Intellectual Property policy?

When Investigators answer Yes to this question, they will be asked to select the appropriate statement:

Yes, this applies to this proposal and I agree to a waiver of our institutional IP policy and agree to notify every person hired to work on the project, if awarded, of these requirements and to submit any necessary additional information to ORA/SPA, if requested.
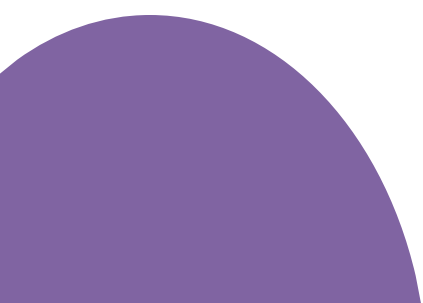
or

Not Applicable to this proposal. The sponsor/prime typically requires this, but this proposal does not require special public access requirements.

Remember!

✓ Proposals should be fully routed PRIOR to submission to the sponsor for SPA review/approval

✓ Please include the KR/KB number in email communications or voicemails

✓ Subaward Team is for outgoing funding

✓ NIH Grants Policy webinar on 1/31/24

Questions?